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#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet of 2

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Application Number	10/037341								
Filing Date	January 4, 2002								
First Named Inventor	David Baltimore								
Art Unit	1636								
Examiner Name	Not-Yet-Assigned GUZO								
Attorney Docket Number	APBI-P05-035								

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Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. SUBSITURE TO ME 1449A/B/PTO Complete if Known Application Number 10/037341 **INFORMATION DISCLOSURE** Filing Date January 4, 2002 STATEMENT BY APPLICANT First Named Inventor **David Baltimore** Art Unit 1636 (Use as many sheets as necessary)

**Examiner Name** 

Attorney Docket Number

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Serial No. 10/037,341

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	Of Defendant El	04 Motion For Leave To Fil i Lilly And Company's Mo c 35 U.S.C. §102, Documen 7 11280 RWZ	tion For Summ	ary Judgment Of
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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) FILING DATE Pg 25 of 49 January 2, 2002 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) June 30, 2004 Deposition of Harinder Singh, Ph.D. in Civil Case 02 CV 11280 RWZ including deposition Exhibits 21-25 attached with this Supplemental Information Disclosure Statement, namely: February 25, 2988 DG American Type Culture Collection [Singh 6/30/04 Exh 21]; Set of hand written notes [Singh 6/30/04 Exh 22]; Set of hand written notes [Singh 6/30/04 Exh 23]; Set of hand written notes [Singh 6/30/04 Exh 24] and Set of hand written notes [Singh 6/30/04 Exh 25] (deposition Exhibits 17, 18, 27, 28, 30 and 31 have been submitted as items 163, 1, 26, 27, 76 and 100, respectively in Patentees' August 8, 2005 Information Disclosure Statement) August 23, 2004 Defendant's Exhibit 45 - Asserted Claims Against EVISTA August 23, 2004 Deposition of Dr. David Baltimore in Civil Case 02 CV 11280 RWZ August 23, 2004 Videotaped Deposition of Dr. David Baltimore, pgs. 1-4, and 85-87 in Civil Case 02 CV 11280 RWZ September 30, 2004 Deposition of Dr. Phillip A. Sharp in Civil Case 02 CV 11280 RWZ including deposition Exhibits 87-89 attached with is Supplemental Information Disclosure Statement, namely: February 13, 1986 correspondence from Brian W. Kimes, Ph.D. [Sharp 9/30/04 Exh 87]; April 30, 1986 Notice of Grant Award [Sharp 9/30/04 Exh 88]; and November 3, 1986 Notice of Grant Award [Sharp 9/30/04 Exh 89] (deposition Exhibits 85 and 86 have been submitted as items 29 and 28 in Patentees' August 8, 2005 Information Disclosure Statement) October 12, 2004 Deposition of Ranjan Sen in Civil Case 02 CV 11280 RWZ October 18, 2004 Eli Lilly & Company's Response to Plaintiffs' Second Set of Requests for Admission to Eli Lilly & Company (Nos. 19-23) October 21, 2004 Deposition of Chen-Ming Fan in Civil Case 02 CV 11280 RWZ

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October 22, 2004 Deposition of Michael J. Lenardo, M.D. in Civil Case

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DG	February 24, 2006 Reply Memorandum In Support of Defendant's Motion Fo Summary Judgment Of Invalidity Under 35 U.S.C. §102, Document 213-2 filed 02/24/2006, in Civil Case 02 CV 11280 RWZ		
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DG	March 3, 2006 Concise Statement Of Materia A Genuine Issue In Support of Plaintiffs Lilly & Company's Motion for Summary Judg U.S.C. §§101 and 112, First Paragraph, Doc in Civil Case 02 CV 11280 RWZ	Opposition to	Defendant Eli
DG	March 3, 2006 Plaintiffs Opposition to Defo Motion For Leave To File Reply Memorandum Company's Motion for Summary judgment of S§101 and 112, First Paragraph, Document 217 Case 02 CV 11280 RWZ	In Support Of	Eli Lilly and
XAMINE	R /David Guzo/ DATE CONSIDERED 12/20/2006		

# U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE 75723-ZAJPW/GJG 10/037,341

INFORMATION DISCLOSURE CITATION

APPLICANT David Baltimore et al.

(Use several sheets if necessary)		David Baltimore et al.			
		January 4, 2002	Pg 47 of 49		
	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	DG	March 12, 2006 Condensed Transcript of Videotaped Depositivol. II in Civil Case 02 CV 11280 RWZ including depositius supplemental Information Disclosure Statement, namely: He 68, No. 5, pp. 1225-1238 [Manolagas 03/12/06 Exh 22]; Tara 2, pp. 368-376 [Manolagas 03/12/06 Exh 23]; Expert Report [Manolagas 03/12/06 Exh 24]; Yu, X-P. et al., Proc. Natl. [Manolagas 03/12/06 Exh 25]; Manolagas et al., J. Clin. Enpp. 394-400 [Manolagas 03/12/06 Exh 26]; Tsoukas, Scie 03/12/06 Exh 27]; Galdiero et al., Microbiology (2001) 128]; Yang et al., Nature (1998) 395:284-288 [Manolagas Haematology (1997) 59:162-170 [Manolagas 03/12/06 Exh 37] Thromb Vasc Biol. (1999) 19:2960-2965 [Manolagas 03/12/06 Cellular Endocrinology (2001) 182:19-26 [Manolagas 03/12/06 Cellular Endocrinology (2001) 182:19-26 [Manolagas 03/12/06 Cellular Endocrinology (2001) 182:19-26 [Manolagas 03/12/06]	ion of Stavros Mand on Exhibits 22-33 lvering et al., Pha anta et al., Bone ( t of Jeffrey V. Ra Acad. Sci, USA (19 docrinal Metab (19) nce (1984) 224:14 47:2697-2704 [Mano 03/12/06 Exh 29] 0]; Lamon-Fava et Exh 31]; Marino et	attached with this armacol (2005) Vol. (2002) Vol. 30, No. (2002) Vol. 30, No. (2002) 92:10990-10994 (36) Vol. 63, No. 2, 38-1440 [Manolagas lagas 03/12/06 Exh; Prager, Eur. J. al., Arterioscleral., Molecular and	
	DG	Trial Transcript - April 10, 2006 Jury Tria 1-61, Word Index pgs. 1-10, Second Session p 1-12, in Civil Case 02 CV 11280 RWZ	l Day 1, First ogs. 62-115, Wo	Session pgs. ord Index pgs.	
	DG	Trial Transcript - April 11, 2006 Jury Tria 1-66, Word Index 1-13, Second Session pgs. Civil Case 02 CV 11280 RWZ	l Day 2, First 66-131, Word 1	Session pgs. Index 1-13, in	
	ШĠ	Trial Transcript - April 12, 2006 Jury Tria 1-67, Word Index 1-12, Second Session pgs. Civil Case 02 CV 11280 RWZ	l Day 3, First 68-125, Word I	Session pgs. ndex 1-10, in	
		Trial Transcript - April 13, 2006 Jury Tria. 1-71, Word Index 1-12, Second Session pgs. 7 Civil Case 02 CV 11280 RWZ	l Day 4, First 2-1128, Word I	Session pgs. Index 1-10, in	
	ו את	Trial Transcript - April 14, 2006 Jury Trial 1-71, Word Index 1-13, Second Session pgs. Civil Case 02 CV 11280 RWZ	l Day 5, First 72-122, Word I	Session pgs. ndex 1-10, in	
	1717 1	Trial Transcript - April 18, 2006 Jury Trial 1-91, Word Index 1-16, Second Session pgs. 9 Civil Case 02 CV 11280 RWZ	l Day 6, First 92-171, Word I	Session pgs. ndex 1-16, in	
]	U(7 I	Trial Transcript - April 19, 2006 Jury Trial 1-106, Word Index 1-18, Second Session pgs. in Civil Case 02 CV 11280 RWZ	Day 7, First 107-174, Wor	Session pgs. d Index 1-14,	
		Trial Transcript - April 20, 2006 Jury Trial 1-89, Word Index 1-14, in Civil Case 02 CV 1	Day 8, First	Session pgs.	
DATE CONSIDERED 12/20/2006					
EXAMINER: Initial if citation considered, whether or not citation is in conference with ARER and					

### U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE 75723-ZA/JPW/GJG 10/037,341

APPLICANT

		INFORMATION DISCLOSURE CITATION	David Baltimore et al.		
			FILING DATE January 4, 2002	Pg 48 of 49	
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	D	Trial Transcript - April 20, 2006 Jury Triages. 90-157, in Civil Case 02 CV 11280 RWZ	al Day 8 Seco	nd Session	
	DG	April 20, 2006 Complaint For Declaratory Ju Invalidity And Non-Infringement, Amgen, Inc Pharmaceuticals, Inc., Civil Case 06 CV 002	c at all tra	ent riad	
	DG	Trial Transcript - April 21, 2006 Jury Trial Day 9, First and Second Sessions pgs. 1-143, in Civil Case 02 CV 11280 RWZ			
	DG	Trial Transcript - April 24, 2006 Jury Tria Session pgs. 1-152 and Word Index pgs. 1-22 11280 RWZ	al Day 10, Fir 2, in Civil Ca	st and Second se 02 CV	
	DG	Trial Transcript - April 25, 2006 Jury Tria Session pgs. 1-158 and Word Index pgs. 1-25 11280 RWZ	al Day 11, Fir o, in Civil Ca	st and Second se 02 CV	
	DG	Trial Transcript - April 26, 2006 Jury Tria Session pgs. 1-156 and Word Index pgs. 1-14 156 and Word Index pgs. 1-14, in Civil Case	Conner do contra		
	DG	Trial Transcript - April 27, 2006 Jury Tria pgs. 1-86, in Civil Case 02 CV 11280 RWZ	l Day 13, Fir	st Session	
	DG	Trial Transcript - April 27, 2006 Jury Tria pgs. 88-141, in Civil Case 02 CV 11280 RWZ	l Day 13, Seco	ond Session	
		Trial Transcript - April 28, 2006 Jury Trial Day 14, First Session pgs. 1-45, Word Index 1-10, Second Session pgs. 46-130, Word Index 1-17, in Civil Case 02 CV 11280 RWZ  Jury Questions - April 2, 2006 pgs. 1-27, Word Index pgs. 1-5, in Civil Case 02 CV 11280 RWZ			
	DG				
	DG	Jury Questions - April 3, 2006 pgs. 1-7, Wor Civil Case 02 CV 11280 RWZ	rd Index pgs.	1-2, in	
EXAMINER /David Guzo/ DATE CONSIDERED 12/20/2006					

	Form PTO-1449 (Substituted) (REV. 8-83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATION DISCLOSURE CITATION several sheets if necessary)	CE ATTY. DOCKET NO. 757523-ZA/JPW/GJG	SERIAL NO. 10/037,341	
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		(Use			Pg 49 of 49	
			OTHER DOCUMENTS (Including Author, Title, Date			
	DG	Transcript	of Verdict of Jury Trial, Day	18, May 4, 2006	pgs	
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		16),	ns Tea, the origins of tea [or retrieved f/www.tea.co.uk/tGloriousT/ind	rom In		
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		Bayer, Ques	tions and Facts, {online], [r	etrieved on-unkr	nown]	
		Undated Dec Control No. 02 CV 11280	claration of Stavros Manolagas 90/007,503, Document 201, fi RWZ	, M.D. Ph.D. in led 02/03/2006,	Reexamination in Civil Case	
	DG	Claims from Case 02 CV	U.S. Serial No. 07/341,438, 11280 RWZ	filed April 21,	1989, in Civi	
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EXAMINER /David Guzo/ DATE CONSIDERED 12/20/2006